

Scott Continuity in Generalized Probabilistic Theories

Robert Furber

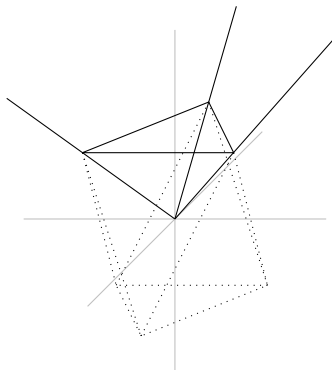
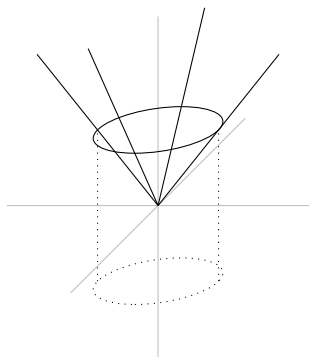
Aalborg University

13th June, 2019

- Background on Generalized Probabilistic Theories
- Background on Scott Continuity and Domain Theory
- Counterexamples

- What kind of structure does a (mixed) state space X have?
- Mixing states.
- Many axiomatizations:
 - In terms of operations $(x, y) \mapsto x +_{\alpha} y$, subject to axioms.
 - In terms of an operation $\mathcal{D}(X) \rightarrow X$.
 - In terms of convex subsets X of vector spaces E .
 - The base of a base-norm space $(E, E_+, \tau : E \rightarrow \mathbb{R})$.

Examples

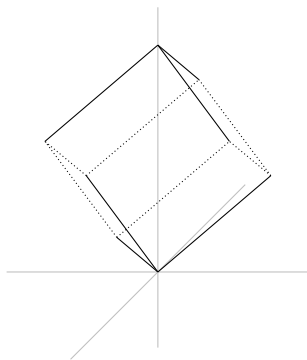
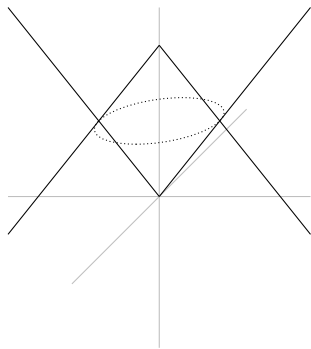


- Density matrices and $\ell^1(3)$.
- Other examples such as the square bit/boxworld.
- Convex sets not embeddable in vector spaces: $\{0, \infty\}$ and $[0, \infty]$.

- Morphisms of state spaces are required to be affine.
- For base-norm spaces, affine morphisms extend to linear ones.
 - Special case: Affine maps $\mathcal{DM}(\mathcal{H}_1) \rightarrow \mathcal{DM}(\mathcal{H}_2)$ extend to positive trace-preserving maps.
- Effects on X , $\mathcal{E}(X)$ are affine maps $X \rightarrow \mathcal{D}(2) \cong [0, 1]$.
- They live inside a vector space $\mathcal{E}_{\pm}(X)$, the set of bounded affine functions $X \rightarrow \mathbb{R}$.
- $\mathcal{E}_{\pm}(\mathcal{DM}(\mathcal{H})) \cong \text{SA}(\mathcal{H})$ and $\mathcal{E}_{\pm}(\mathcal{D}(X)) \cong \ell^{\infty}(X)$.
- $\mathcal{E}(\mathcal{DM}(\mathcal{H})) = \text{Ef}(\mathcal{H})$ and $\mathcal{E}(\mathcal{D}(X)) = [0, 1]^X$.

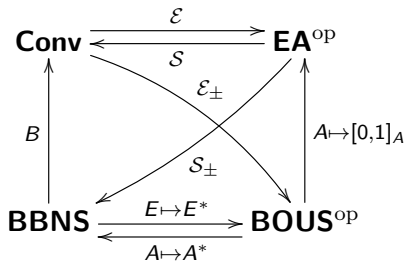
Abstract Effects

- Many axiomatizations:
 - Test spaces
 - Orthomodular lattices
 - Effect algebras: $(A, \oplus, -^\perp)$
 - Convex effect algebras
 - Order-unit spaces: (A, A_+, u) .
- Examples of order-unit spaces:



- Morphisms of effect algebras preserve the addition, complement and unit.
- $[0, 1]$ is an effect algebra, and $\mathcal{S}(A)$ is the set of maps $A \rightarrow [0, 1]$.
- $\mathcal{S}(A)$ is the base of a base-norm space $\mathcal{S}_{\pm}(A)$.
- For an order-unit space A , $\mathcal{S}_{\pm}([0, 1]_A) \cong A^*$.

State-Effect Duality



- The natural map $X \mapsto \mathcal{S}(\mathcal{E}(X))$ is an isomorphism iff $X \cong B(E)$ for E a reflexive base-norm space.
- The natural map $A \mapsto \mathcal{E}(\mathcal{S}(A))$ is an isomorphism iff $A \cong [0, 1]_B$ for B a reflexive order-unit space.

State-Effect Duality II

- This does not work for $\mathcal{DM}(L^2(\mathbb{R}^n))$ or any kind of infinite-dimensional quantum mechanics.
- Fix: Break it into two dualities.
- Use the weak-* topology to make $\mathcal{S}(A)$ compact, take continuous effects \mathcal{CE} , get a duality **BOUS**^{op} \simeq **SBNS**.
- Use the weak-* topology to make $\mathcal{E}(X)$ compact, take continuous states \mathcal{CE} , get a duality **BBNS** \simeq **SOUS**^{op}.

- In mathematics, start with sets, then describe morphisms.
- In computer science, we have morphisms (described syntactically) and we want to find out what the sets are (domains).
- Use dcpos (D, \leq) .
 - A directed set $S \subseteq D$ is one in which every pair $x, y \in S$ has an upper bound in S .
 - Directed-complete means each directed set has a *least* upper bound.
- Morphisms: Scott-continuous maps.
- Can interpret recursive functions by iterating to a fixed point:
 $\perp \leq f(\perp) \leq f(f(\perp)) \cdots$
- First models of untyped λ -calculus were obtained by finding a dcpo D such that $D \cong [D \rightarrow D]$.

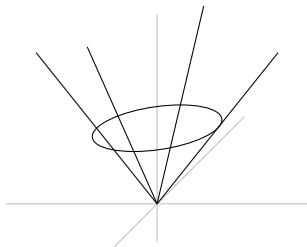
- The spaces $B(\mathcal{H})$ and $L^\infty(X, \mu)$, and any von Neumann algebra, are bounded-directed complete.
- So $\mathcal{E}(\mathcal{DM}(\mathcal{H}))$ and $\mathcal{E}(\mathcal{DF}(X, \mu))$ are dcpos, as is $[0, 1]_A$ for any von Neumann algebra A .
- Scott continuous maps $[0, 1]_A \rightarrow [0, 1]_B$ form a dcpo.
- $f : [0, 1]_A \rightarrow [0, 1]_B$ is (weak-*) continuous iff it is Scott continuous.
- Key fact: a state $\phi : B(\mathcal{H}) \rightarrow \mathbb{C}$ is Scott continuous iff it is weak-* continuous iff there exists $\rho \in \mathcal{DM}(\mathcal{H})$. $\phi(a) = \text{tr}(\rho a)$ for all $a \in B(\mathcal{H})$. These are called *normal states*.
- Don't need to use topologies, and state-effect duality is state transformer-predicate transformer duality done using Scott continuity.

- Does this carry over to state-effect duality for convex sets?
- If it did, we would have a way to interpret programming languages describing quantum protocols in generalized probabilistic theories as well using the same concepts.
- Promising start: $\mathcal{E}(X)$ is a dcpo, and direct sets converge (weak-*) to their least upper bounds. Elements of X define Scott-continuous states on $\mathcal{E}(X)$.
- If we define $SCS(A)$ to be the Scott-continuous states on A , is the evaluation map $X \rightarrow SCS(\mathcal{E}(X))$ an isomorphism?
- Answer: No, not even if X is the base of a base-norm space.

The Counterexample

- Every closed bounded convex subset of a Banach space E can be made into the base of a Banach base-norm space.
- Why not use the closed unit ball of E ?
- $\mathcal{BN}(E) = E \times \mathbb{R}$. The trace is the map $(x, y) \mapsto y$.
- Positive cone:

$$\begin{aligned} & \{\mathbb{R}_{\geq 0} \text{ multiples of } \text{Ball}(E) \times \{1\}\} \\ &= \{(x, y) \in E \times \mathbb{R} \mid \|x\|_E \leq y\} \end{aligned}$$



The Counterexample II

- We can also make an order-unit space $\mathcal{OU}(E)$, using the same cone, and taking $(0, 1)$ as the unit element.
- $\mathcal{BN}(E) \cong E \oplus_{\infty} \mathbb{R} = E \times \mathbb{R}$ and $\mathcal{OU}(E) \cong E \oplus_1 \mathbb{R} = E + \mathbb{R}$.
- By generalizing the isomorphisms $\ell^{\infty}(2)^* \cong \ell^1(2)$ and $\ell^1(2)^* \cong \ell^{\infty}(2)$, we get isomorphisms $\mathcal{BN}(E)^* \cong \mathcal{OU}(E^*)$ and $\mathcal{OU}(E)^* \cong \mathcal{BN}(E^*)$.
- Already at this point we can import counterexamples from Banach space theory, e.g. a convex set X such that $X \cong \mathcal{S}(\mathcal{E}(X))$ but the evaluation map is not an isomorphism.

The Counterexample III

- $\mathcal{BN}(E)^*$ is bounded-directed complete, because it's isomorphic to $\mathcal{E}_{\pm}(\text{Ball}(E))$.
- By analysing it as $\mathcal{OU}(E^*)$, we see that if x is the least upper bound of $(x_i)_{i \in I}$, then $x_i \rightarrow x$ in *norm*, not just weak- $*$.
- Therefore every state on $\mathcal{BN}(E)^*$ is Scott continuous.
- If we take E to be any non-reflexive space, e.g. l^1 or l^∞ , $X = \text{Ball}(E)$ is a convex set such that the evaluation map $X \rightarrow \mathcal{SCS}(\mathcal{E}(X))$ is not an isomorphism.
- So an infinite-dimensional cubical bit $[0, 1]^{\mathbb{N}}$ is such an example.

- Don't take the topology away!
- There are other examples even in finite-dimensional quantum mechanics where using only order-theoretic approximation is a bad idea – 1-dimensional projections form a discrete set in $B(\mathcal{H})$ in the Scott topology, so you cannot approximate projections from each other using domain-theoretic notions.